

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MUROTA, et al.

Serial No: Not Assigned

Filed: September 28, 2005

For: Rotating Electric Machine and
Electric Vehicle

Art Unit: Not Assigned

Examiner: Not Assigned

**TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 29 reference(s) is in the enclosed Form PTO-1449.

10/551515

PATENT

89298.0001

Express Mail Label No. EV 667 736 941 US

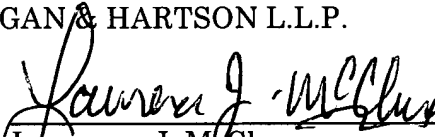
JC12 Rec'd PCT/PTC 28 SEP 2005

NON-ENGLISH LANGUAGE REFERENCES

- ☒ The applicant has provided machine translations of selected references as a matter of courtesy. The translations have not been reviewed for accuracy.
- ☒ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:


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Date: September 28, 2005

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FORM PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)
89298.0001

Application Number
10/351515
Not Assigned

Applicant

MUROTA, et al.

Filing Date

September 28, 2005

Group Art Unit

Not Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,525,115	06/11/96	VANZINI			
	5,719,459	02/17/98	HASEGAWA			
	5,763,977 (counterpart Japanese Pub. 09-037598)	06/09/98	SHIMASAKI, et al.			
	5,770,908	06/23/98	KIM			
	6,053,833	04/25/00	MASAKI			
	6,428,442	08/06/02	TURGAY, et al.			
	2002/0117916	08/29/02	TERADA			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	01-174250	07/10/89	JAPAN			Abstract	
	03-215154	09/20/91	JAPAN			Abstract	
	04-168962	06/17/92	JAPAN			Abstract	
	04-321850	11/11/92	JAPAN			Abstract	
	05-199705	08/06/93	JAPAN			Abstract & Machine Translation	
	05-300712	11/12/93	JAPAN			Abstract & Machine Translation	
	05-328664	12/10/93	JAPAN			Abstract & Machine Translation	
	05-336700	12/17/93	JAPAN			Abstract & Machine Translation	
	06-141401	05/20/94	JAPAN			Abstract & Machine Translation	
	06-343251	12/13/94	JAPAN			Abstract & Machine Translation	
	07-250465	09/26/95	JAPAN			Abstract & Machine Translation	
	09-308200	11/28/97	JAPAN			Abstract & Machine Translation	
	09-331660	12/22/97	JAPAN			Abstract & Machine Translation	
	11-313405	11/09/99	JAPAN			Abstract & Machine Translation	
	2001-289315	10/19/01	JAPAN			Abstract & Machine Translation	
	2002-233176	08/16/02	JAPAN			Abstract & Machine Translation	
	2002-247822	08/30/02	JAPAN			Abstract & Machine Translation	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 89298.0001	Application Number 10/551515 Not Assigned
	Applicant MUROTA, et al.	
	Filing Date September 28, 2005	Group Art Unit Not Assigned

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2002-300760	10/11/02	JAPAN			Abstract & Machine Translation	
	2002-325412	11/08/02	JAPAN			Abstract & Machine Translation	
	2003-048437	02/18/03	JAPAN			Abstract & Machine Translation	
	09-037598 (counterpart U.S. Patent No. 5,763,977)	02/07/97	JAPAN			Abstract	
	56-171558	05/21/55	JAPAN			Partial Translation	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.